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Northwest Biotherapeutics, Inc.

Plaintiff

Civil Number: 22-cv-10185

vs.

Canaccord Genuity LLC, et al.

Defendant

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**AFFIDAVIT OF SERVICE**

I, Michael Marra, a Private Process Server, being duly sworn, depose and say:

That I have been duly authorized to make service of the Summons and Complaint in the above entitled case.

That I am over the age of eighteen years and not a party to or otherwise interested in this action.

That on 12/05/2022 at 2:06 PM, I served GTS Securities LLC at 545 Madison Avenue, 15th Floor, New York, New York 10022 with the Summons and Complaint by serving Brittney Walton, Managing Agent, authorized to accept service.

Brittney Walton is described herein as:

Gender: Female Race/Skin: Black Age: 36 Weight: 160 Height: 6'1" Hair: Black Glasses: No

I declare under penalty of perjury that this information is true and correct.

DECEMBER 7, 2022  
Executed On



Michael Marra  
Michael Marra

Client Ref Number: 78390-001  
Job #: 1611424

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of New York

Northwest Biotherapeutics, Inc.

*Plaintiff(s)*

v.

CANACCORD GENUITY LLC, CITADEL SECURITIES  
LLC, G1 EXECUTION SERVICES LLC, GTS  
SECURITIES LLC, INSTINET LLC, LIME TRADING  
CORP., SUSQUEHANNA INTERNATIONAL GROUP  
LLP, and VIRTU AMERICAS LLC.

*Defendant(s)*

Civil Action No. 22-cv-10185

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* GTS Securities LLC  
545 Madison Avenue  
15th Floor  
New York, NY 10022

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Laura H. Posner  
Cohen Milstein Sellers & Toll PLLC  
88 Pine St, 14th Floor  
New York, New York 10005

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: 12/02/2022

/S/ V. BRAHIMI

*Signature of Clerk or Deputy Clerk*

The block contains the official seal of the United States District Court for the Southern District of New York. The seal is circular with an eagle in the center, surrounded by the text "UNITED STATES DISTRICT COURT" and "FOR THE SOUTHERN DISTRICT OF NEW YORK". Overlaid on the seal is a large, handwritten signature in black ink.